

CERTIFICATE OF MAILING

I hereby certify that this paper and every paper referred to therein as being enclosed is being placed in First Class Mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 as of today.

~~Richard T. Lycan~~

Date: 5-24-01

~~Sector #~~

## PATENT

Microsoft Docket No. 158314.1  
LH&D No. MCS-073-00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of  
Malvar

: Group Art Unit: Unknown

# Entitled: ADAPTIVE ENCODING AND DECODING OF BI-LEVEL IMAGES

Examiner: Unknown

Serial No.: 09/815,943

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Filing Date: March 23, 2001

2

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts dated May 4, 2001, please find enclosed herewith the following:

1. Copy of Notice to File Missing Parts of Non-Provisional Application (1 page)

2. Executed Declaration and Power of Attorney (3 pages)
3. Assignment Recordation Cover Sheet (2 pages), Executed Assignment (1 page) and a Credit Card Payment Form (1 page)
4. Return receipt postcard

A Credit Card Payment Form for the amount of \$1,332.00 to cover the statutory basic filing fee of \$710.00, additional claim fees of \$492.00, and a surcharge under 37 CFR 1.16(e) of \$130.00, is also enclosed herewith.

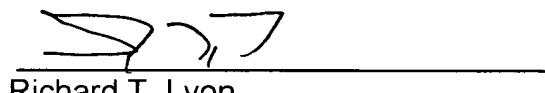
The applicant assumes the application is now in proper order and in condition for examination.

Please direct any inquiries to the undersigned attorney at (805) 278-8855.

Dated: 5-24-01

LYON, HARR & DEFRAK  
300 Esplanade Drive  
Suite 800  
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(805) 278-8855

Respectfully submitted,



Richard T. Lyon  
Reg. No. 37,385  
Attorney for Applicant(s)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/815,943	03/23/2001	Malvar	MCS-073-00

CONFIRMATION NO. 8254

27662  
LYON, HARR & DEFRANK  
300 ESPLANADE DRIVE, SUITE 800  
OXNARD, CA 93030

FORMALITIES LETTER



OC000000006042901

Date Mailed: 05/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- o Total additional claim fee(s) for this application is \$492.
  - \$252 for 14 total claims over 20.
  - \$240 for 3 independent claims over 3 .
- o The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 1332.

8888

710.00  
130.00  
240.00  
252.00

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/01/2001 09:00:00 09815943  
01 FC:101  
02 FC:102  
03 FC:103  
04 FC:104